

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known		
				Application Number	09/900,373	
				Filing Date	July 6, 2001	
				First Named Inventor:	Hua Li	
				Art Unit	2828	
				Examiner Name	Hung T. Vy	
				Attorney Docket Number	42.P14872	
Sheet	1	of	2			
U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
H H H H H H H H H H		US-	5,867,512	02/02/1999	Sacher	
		US-	5,838,713	11/17/1998	Shimoji	
		US-	5,596,456	01/21/1997	Luecke	
		US-				
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Country Code ⁴	Number ⁵ Kind Code ⁶ (if known)				
H H H H H		PCT/US99/24137		10/15/1999	PCT International Search Report		
		PCT/US02/21413		07/05/2002	PCT International Search Report		
		WO 00/49689		02/18/2000	New Focus, Inc.		
		EP0951112 A2		03/31/1999	European Patent Application		
		EP0687045 A2		06/06/1995	European Patent Application		

Examiner Signature		Date Considered	03/04/04
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Sheet	2	of	2	Attorney Docket Number	42.P14872
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
H		N.A. OLSON et al., "Performance Characteristics of 1.5 μ m External Cavity Semiconductor Lasers for Coherent Optical Communication" Journal of Lightwave Technology LT-5 (1987) 510-515, April, No. 4, New York, New York, USA			
H		ZHANG et al., "An Interference Filter Based External Cavity Laser For Dense Wavelength Division Multiplexing Applications", Technical Proceedings, Vol. 1, National Fiber Optics Engineers Conference, September 13-17, 1998.			
H		ZORABEDIAN et al., "Interference-filter-tuned, alignment-stabilized, semiconductor external-cavity laser", Reprinted from Optics Letters, Vol. 13, page 826, October, 1988.			

Examiner Signature		Date Considered	3/14/05
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English Translation is attached.
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